APPENDIX O-1

TECHNICAL GUIDELINES FOR PAPER CLAIM PREPARATION FORM DPA 1443 (HFS 1443), PROVIDER INVOICE

Please follow these guidelines in the preparation of claims for imaging processing to assure the most efficient processing by the Department:

- Use original Department issued claim form.
- Claims that are illegible will be returned to the provider.
- Claims with extreme print qualities, either light or dark, will not image.
- Use only one font style on a claim. Do not use bold print, italics, script, or any font that has connecting characters.
- Do not use punctuation marks, slashes, dashes or any special characters anywhere on the form.
- Claims should be typed or computer-printed in capital letters. The character pitch must be 10-12 printed characters per inch, which is the size of most standard pica or elite typewriters. Handwritten entries should be avoided.
- All entries must be within the specified boxes. Do not write in the margins.
- Red ink does not image. Use only black ink for entries on the billing form, attachments and provider signature.
- If corrections need to be made, reprinting the claim is preferred. Correction fluid should be used sparingly.
- Remove the pin-feed strips on claims at the perforations only. Do not cut the strips, as it may alter the document size.
- Attachments containing a black border as a result of photocopying with the copier cover open cannot be imaged. Attachments must have a minimum one-half inch white border at the top and on the sides to ensure proper imaging of the document.
- For attachments containing gray areas, either as a part of the original or as a result of photocopying a colored background, print in the gray area is likely to be unreadable. If information in this area is important, the document should be recopied to eliminate the graying effect as much as possible without making the print too light.
- Attachments should be paper-clipped or rubber-banded to claims. Do not fold invoices or fasten attachments with staples.

Instructions for completion of the Provider Invoice follow in the order entries appear on the form. Mailing instructions follow the claim preparation instructions. Appendix O-1b provides a sample of Form DPA 2803, Optical Prescription Order. Appendix O-1c provides instructions for completion of Medicare/Medicaid combination claims.

The left hand column of the following instructions identifies mandatory and optional items for form completion as follows:

Required	=	Entry always required.
Optional	=	Entry optional - In some cases failure to include an entry will result in certain assumptions by the Department and will preclude corrections of certain claiming errors by the Department.
Conditionally Required	=	Entries which are required only under certain circumstances. Conditions of the requirement are identified in the instruction text.
Not Required	=	Fields not applicable to the provision of optometric services.

COMPLETION		**ITEM EXPLANATION AND INSTRUCTIONS**
Required	**1.**	**Provider Name** - Enter the provider's name exactly as it appears on the Provider Information Sheet.
Required	**2.**	**Provider Number** - Enter the nine-digit provider number for individual practitioners or the 12-digit provider number for optical companies from the Provider Information Sheet.
Required	**3.**	**Payee** - Enter the one-digit code of the payee to whom payment is to be sent. Payees are coded numerically on the Provider Information Sheet.
Not Required	4.	Role - Leave blank.
Not Required	5.	Emer - Leave blank.
Not Required	6.	Prior Approval - Leave blank.

Optional	**7.**	**Provider Street** - Enter the street address of the provider's primary office. If the address is entered, the Department will, where possible, correct claims suspended due to provider errors. If the address is not entered, the Department will not attempt corrections.
Conditionally Required	**8.**	**Facility & City Where Service Rendered** - This entry is required when Place of Service Code in Field 23 (Service Sections) is other than 11 (office).
Optional	**9.**	**Provider City State ZIP** - Enter city, state and ZIP code of provider.
Not Required	10.	Referring Practitioner Name - Leave blank.
Required	**11.**	**Recipient Name** - Enter the patient's name exactly as it appears on the MediPlan Card, Temporary MediPlan Card KidCare Card or Notice of Temporary KidCare Medical Benefits. Separate the components of the name (first, middle initial, last) in the proper order of the name field.
Required	**12.**	**Recipient No.** - Enter the nine-digit number assigned to the individual as shown on the MediPlan Card, Temporary MediPlan Card, KidCare Card or Notice of Temporary KidCare Medical Benefits. Use no punctuation or spaces. Do **not** use the Case Identification Number.
Optional	**13.**	**Birth Date** - Enter the month, day and year of birth of the patient as shown on the MediPlan Card, Temporary MediPlan Card, KidCare Card or Notice of Temporary KidCare Medical Benefits. Use the MMDDYYYY format. If the birth date entered, the Department will, where possible, correct claims suspended due to recipient name and number errors. If the birth date is not entered, the Department will not attempt corrections.
Not Required	14.	Healthy Kids - Leave blank.
Not Required	15.	Fam Plan - Leave blank.
Not Required	16.	ST/AB - Leave blank.
Required	**17.**	**Primary Diagnosis Description** - Enter the primary diagnosis which describes the condition primarily responsible for the patient's treatment.

Required	**18.**	**Primary Diag. Code** - Enter the specific ICD 9-CM code without the decimal for the primary diagnosis described in Item 17.
Required	**19.**	**Taxonomy** - Enter the appropriate ten-digit HIPAA Provider Taxonomy code. Refer to Chapter 300, Appendix 5.
Optional	**20.**	**Provider Reference** - Enter up to 10 numbers or letters used in the provider's accounting system for identification. If this field is completed, the same data will appear on Form DPA 194-M-1, Remittance Advice, returned to the provider.
Not Required	21.	Ref Prac No. - Leave blank.
Not Required	22.	Secondary Diag Code - Leave blank.
	23.	**Service Sections**: Complete one Service Section for each item or service provided to the patient.
Conditionally Required		**Procedure Description/Drug Name, Form and Strength or Size** - Enter the description of the service provided or item dispensed.
Required		**Proc. Code/NDC** - Enter the appropriate CPTor HCPCS code.
Conditionally Required		**Modifiers** - Enter the appropriate two-byte modifier(s) for the service performed. The Department can accept a maximum of four two-byte modifiers per Service Section.
Required		**Date of Service** - Enter the date the service was provided. Use MMDDYY format.
Required		**Cat. Serv.** - Enter the appropriate two-digit category of service code. 03 - Optometric Services 45 - Optical Supplies
Conditionally Required		**Delete** - When an error has been made that cannot be corrected enter an "X" to delete the entire Service Section. Only "X" will be recognized as a valid character; all others will be ignored.

Required	**Place of Serv.** - Enter the two-digit Place of Service Code from the following list: 11 – Office 31 – Skilled Nursing Facility 32 – Nursing Facility 99 – Other Unlisted Facility
Not Required	Units/Quantity - Leave blank.
Not Required	Modifying Units - Leave blank.

Conditionally Required

TPL Code - If the patient's MediPlan or KidCare Card contains a TPL code, the numeric three-digit code must be entered in this field. If payment was received from a third party resource not listed on the patient's card, enter the appropriate TPL Code as listed in the Chapter 100, General Appendix 9. If more than one third party made a payment for a particular service, the additional payment(s) are to be shown in Section 25.

Spenddown – Refer to Chapter 100, Topic 113 for a full explanation of the Spenddown policy. The following provide examples.

When the date of service is the same as the "Spenddown Met" date on the DPA 2432 (Split Billing Transmittal) attach the DPA 2432 to the claim form. The split bill transmittal supplies the information necessary to complete the TPL fields.

If Form DPA 2432 shows a recipient liability greater than $0.00, the Service Section should be coded as follows:

TPL Code	906
TPL Status	01
TPL Amount	The actual recipient liability as shown on DPA Form 2432.
TPL Date	The issue date on the bottom right corner of the DPA 2432. This is in MMDDYY format.

If Form DPA 2432 shows a recipient liability of $0.00, the Service Section should be coded as follows:

TPL Code	906
TPL Status	04
TPL Amount	0 00
TPL Date	The issue date on the bottom right corner of the DPA 2432. This is in MMDDYY format.

Conditionally Required

Status - If a TPL code is shown in the previous item, a two-digit code indicating the disposition of the third party claim must be entered. No entry is required if the TPL code is 000 or blank.

The TPL Status Codes are:

01 - TPL Adjudicated - total payment shown - TPL Status Code 01 is to be entered when payment has been received from the patient's third party resource. The amount of payment received **must** be entered in the TPL amount box.
02 - TPL Adjudicated - patient not covered - TPL Status Code 02 is to be entered when the provider is advised by the third party resource that the patient was not insured at the time services were provided.
03 - TPL Adjudicated - services not covered - TPL Status Code 03 is to be entered when the provider is advised by the third party resource that services provided are not covered.
04 - TPL Adjudicated - spenddown met - TPL status code 04 is to be entered when the patient's Form DPA 2432 shows $0.00 liability.
05 - Patient not covered - TPL Status Code 05 is to be entered when a patient informs the provider that the third party resource identified on the MediPlan Card is not in force.
06 - Services not covered - TPL Status Code 06 is to be entered when the provider determines that the identified resource is not applicable to the service provided.
07 - Third Party Adjudication Pending - TPL Status Code 07 may be entered when a claim has been submitted to the third party, 60 days have elapsed since the third party was billed, and reasonable follow-up efforts to obtain payment have failed.
10 - Deductible not met - TPL Status Code 10 is to be entered when the provider has been informed by the third party resource that non-payment of the service was because the deductible was not met.

Conditionally Required

TPL Amount - Enter the amount of payment received from the patient's third party for the service. A dollar amount entry is required if TPL Status Code 01 was entered in the "Status" box. For all other Status Codes, enter 0 00. If there is no TPL code, no entry is required.

Conditionally Required

TPL Date - A TPL date is required when any status code is shown. Use the date specified below for the applicable code:

Status Code	Date to be entered
01	Third Party Adjudication Date
02	Third Party Adjudication Date
03	Third Party Adjudication Date
04	Date from the DPA 2432, Split Billing Transmittal
05	Date of Service
06	Date of Service
07	Date of Service
10	Third Party Adjudication Date

Required

Provider Charge - Enter the total charge for the service, not deducting any TPL.

Not Required 24. Optical Materials Only - Leave blank.

When ordering lenses and/or frames, complete Form 2803, Optical Prescription Order. A sample copy of the form is shown in Appendix O-1b. Attach Form DPA 2803 to the Provider Invoice and submit both forms to the Department.

Sections 25 through 30 of the Provider Invoice are to be used: 1) to identify additional third party resources in instances where the patient has access to two or more resources and 2) to calculate total and net charges.

If an additional third party resource was identified for one or more of the services billed in Service Sections 1 through 6 of the Provider Invoice, complete the TPL fields in accordance with the following instructions:

Conditionally Required **25.** **Sect. #** - If more than one third party made a payment for a particular service, enter the Service Section number (1 through 6) in which that service is reported.

If a third party resource made a single payment for several services and did not specify the amount applicable to each, enter the number 0 (zero) in this field. When 0 is entered, the third party payment shown in Section 25C will be applied to the total of all Service Sections on the Provider Invoice.

Conditionally Required	**25A.**	**TPL Code** - Enter the appropriate TPL Code referencing the source of payment (Chapter 100, General Appendix 9). If the TPL Codes are not appropriate enter 999 and enter the name of the payment source in section 35.
Conditionally Required	**25B.**	**Status** - Enter the appropriate TPL Status Code. See the Status field in Item 23 above for correct coding of this field.
Conditionally Required	**25C.**	**TPL Amount** - Enter the amount of payment received from the third party resource.
Optional	**25D.**	**TPL Date** - Enter the date the claim was adjudicated by the third party resource. (See the TPL Date field in Item 23 above for correct coding of this field.)
Conditionally Required	**26.**	**Sect. #** - (See 25 above).
Conditionally Required	**26A.**	**TPL Code** - (See 25A above).
Conditionally Required	**26B.**	**Status** - (See 25B above).
Conditionally Required	**26C.**	**TPL Amount** - (See 25C above).
Conditionally Required	**26D.**	**TPL Date** - (See 25D above).
Conditionally Required	**27.**	**Sect. #** - (See 25 above).
Conditionally Required	**27A.**	**TPL Code** - (See 25A above).
Conditionally Required	**27B.**	**Status** - (See 25B above).
Conditionally Required	**27C.**	**TPL Amount** - (See 25C above).
Conditionally Required	**27D.**	**TPL Date** - (See 25D above).

Claim Summary Fields: The three claim summary fields must be completed on all Provider Invoices. These fields are Total Charge, Total Deductions and Net Charge. They are located at the bottom far right of the form.

Required	**28.**	**Tot Charge** - Enter the sum of all charges submitted on the Provider Invoice in Service Sections 1 through 6.
Required	**29.**	**Tot Deductions** - Enter the sum of all payments submitted in the TPL Amount field in Service Sections 1 through 6. If no payment was received, enter zeroes (0 00).
Required	**30.**	**Net Charge** - Enter the difference between Total Charge and Total Deductions.
Required	**31.**	**# Sects** - Enter the total number of Service Sections completed in the top part of the form. This entry must be at least one and no more than six. Do not count any sections which were deleted because of errors.
Not Required	32.	Original DCN - Leave blank.
Not Required	33.	Sect. - Leave blank.
Not Required	34.	Bill type - Leave blank.
Conditionally Required	**35.**	**Uncoded TPL Name** - Enter the name of the third party resource. The name must be entered if TPL code 999 is used.
Required	**36-37**	**Provider Certification, Signature and Date** - After reading the certification statement, the provider or their designee must sign the completed form. The signature must be handwritten in black ink. A stamped or facsimile signature is not acceptable. Unsigned Provider Invoices will be rejected. The signature date is to be entered in MMDDYY format.

MAILING INSTRUCTIONS

The Provider Invoice is a single page or two-part form. The provider is to submit the original of the form to the Department as indicated below. The pin-feed guide strip of the two-part continuous feed form should be removed prior to submission to the Department. The copy of the claim should be retained by the provider.

Routine claims are to be mailed to the Department in pre-addressed mailing envelopes, Form DPA 1444, Provider Invoice Envelope, provided by the Department.

Mailing address: Healthcare and Family Services
P.O. Box 19105
Springfield, Illinois 62794-9105

Non-routine claims (claims with attachments, such as EOB or DPA 2432 Split Billing Transmittal) are to be mailed to the Department in pre-addressed mailing envelope, Form DPA 2248, NIPS Special Invoice Handling Envelope, which is provided by the Department for this purpose.

Mailing address: Healthcare and Family Services
P.O. Box 19118
Springfield, Illinois 62794-9118

Forms Requisition:

Billing forms may be requested on our Web site at: http://www.hfs.illinois.gov/forms/ or by submitting a 1517 or 1517A as explained in Chapter 100, General Appendix 10.

PROVIDER INVOICE

ILLINOIS DEPARTMENT OF PUBLIC AID

USE CAPITAL LETTERS ONLY

PRV

IDPA USE ONLY

1. PROVIDER NAME (FIRST, LAST) | 2. PROVIDER NUMBER | 3. PAYEE | 4. ROLE | 5. EMER | 6. PRIOR APPROVAL

7. PROVIDER STREET | 8. FACILITY & CITY WHERE SERVICE RENDERED

9. PROVIDER CITY | STATE | ZIP | 10. REFERRING PRACTITIONER NAME (FIRST, LAST)

11. RECIPIENT NAME (FIRST, MI, LAST) | 12. RECIPIENT NUMBER | 13. BIRTHDATE | 14. H. KIDS | 15. FAM. PLAN | 16. ST/AB

17. PRIMARY DIAGNOSIS DESCRIPTION | 18. PRIMARY DIAG. CODE

19. TAXONOMY | 20. PROVIDER REFERENCE | 21. REF. PRAC. NO. | 22. SECONDARY DIAG. CODE

23. SERVICE SECTIONS

1 PROCEDURE DESCRIPTION / DRUG NAME, FORM, AND STRENGTH OR SIZE | PROC. CODE/NDC | MODIFIERS | DATE OF SERVICE | CAT. SERV. | DELETE

P.O.S. | UNITS / QUANTITY | MODIFYING UNITS | TPL CODE | STATUS | TPL AMOUNT | TPL DATE | PROVIDER CHARGE

2 PROCEDURE DESCRIPTION / DRUG NAME, FORM, AND STRENGTH OR SIZE | PROC. CODE/NDC | MODIFIERS | DATE OF SERVICE | CAT. SERV. | DELETE

P.O.S. | UNITS / QUANTITY | MODIFYING UNITS | TPL CODE | STATUS | TPL AMOUNT | TPL DATE | PROVIDER CHARGE

3 PROCEDURE DESCRIPTION / DRUG NAME, FORM, AND STRENGTH OR SIZE | PROC. CODE/NDC | MODIFIERS | DATE OF SERVICE | CAT. SERV. | DELETE

P.O.S. | UNITS / QUANTITY | MODIFYING UNITS | TPL CODE | STATUS | TPL AMOUNT | TPL DATE | PROVIDER CHARGE

4 PROCEDURE DESCRIPTION / DRUG NAME, FORM, AND STRENGTH OR SIZE | PROC. CODE/NDC | MODIFIERS | DATE OF SERVICE | CAT. SERV. | DELETE

P.O.S. | UNITS / QUANTITY | MODIFYING UNITS | TPL CODE | STATUS | TPL AMOUNT | TPL DATE | PROVIDER CHARGE

5 PROCEDURE DESCRIPTION / DRUG NAME, FORM, AND STRENGTH OR SIZE | PROC. CODE/NDC | MODIFIERS | DATE OF SERVICE | CAT. SERV. | DELETE

P.O.S. | UNITS / QUANTITY | MODIFYING UNITS | TPL CODE | STATUS | TPL AMOUNT | TPL DATE | PROVIDER CHARGE

6 PROCEDURE DESCRIPTION / DRUG NAME, FORM, AND STRENGTH OR SIZE | PROC. CODE/NDC | MODIFIERS | DATE OF SERVICE | CAT. SERV. | DELETE

P.O.S. | UNITS / QUANTITY | MODIFYING UNITS | TPL CODE | STATUS | TPL AMOUNT | TPL DATE | PROVIDER CHARGE

24. OPTICAL MATERIALS ONLY

24A. RX TYPE | 24B. LENS TYPE | 24C. CORRECTION CHANGE

24D. RIGHT SPHERE | 24E. RIGHT CYLINDER | 24F. RIGHT PRISM

24G. LEFT SPHERE | 24H. LEFT CYLINDER | 24I. LEFT PRISM

25. SECT. # | 25A. TPL CODE | 25B. STATUS | 25C. TPL AMOUNT | 25D. TPL DATE

26. SECT. # | 26A. TPL CODE | 26B. STATUS | 26C. TPL AMOUNT | 26D. TPL DATE

27. SECT. # | 27A. TPL CODE | 27B. STATUS | 27C. TPL AMOUNT | 27D. TPL DATE

28. TOT. CHARGE

29. TOT. DEDUCTIONS

30. NET CHARGES

31. #SECT | 32. ORIGINAL DCN | 33. SECT | 34. BILL TYPE | 35. UNCODED TPL NAME

I AGREE TO COMPLY WITH THE REQUIREMENTS IN THE CERTIFICATION WHICH APPEARS ON THE REVERSE SIDE AND IS PART OF THIS BILL.

36. PROVIDER SIGNATURE (DO NOT USE RUBBER STAMP) | 37. DATE

DPA 1443 (R-4-04)
IL478-1210

Completion mandatory, III. Rev. Stat., Ch. 23, P.A. Code, penalty non-payment. Form Approved by the Forms Management Center.

Job# 086583 - 3/2004

APPENDIX O-1b
Reduced Facsimile of Form DPA 2803

OPTICAL PRESCRIPTION ORDER

Document Control Number

1. PROVIDER NAME
2. PROVIDER NUMBER
3. ADDRESS
4. CITY STATE ZIP
5. RECIPIENT NAME (FIRST, MI, LAST)
6. RECIPIENT NO.
7. BIRTHDATE

	POWER			PRISM				DPD	NPD	
	SPHERE	CYLINDER	AXIS	IN	OUT	UP	DOWN	O. C. HEIGHT		
R										
L										

	SEGMENT						PREVIOUS RX/ADDITIONAL INFORMATION
	ADD	HEIGHT	BASE CURVE	DEC.	INSET	TOTAL	
R							
L							

LENS MATERIAL

	LENS STYLE
R	
L	

Check One: Glass ☐
Plastic ☐
Polycarbonate ☐

FRAME MATERIAL (CHECK ONE): PLASTIC ☐ METAL ☐

MFG. FRAME NAME FRONT/CHASSIS COLOR

EYE DBL TRIM STYLE TRIM COLOR

TPL SIZE TPL SIZE TEMPLE STYLE TEMPLE COLOR

R L

My signature certifies that all entries on this document are true, accurate and complete; records necessary to fully disclose the nature and extent of services provided are maintained and will be made available upon request of State and Federal officials (responsible for the various aspects of the State's Medical Assistance Program, as provided under Title XIX and Title XXI of the Social Security Act and applicable State statutes); and eyeglasses and/or parts will be dispensed to this recipient within a reasonable time period after receipt from the Department of Corrections.

Signature Date

DPA 2803 (R-2-99) IL478-1531

APPENDIX O-1c
PREPARATION AND MAILING INSTRUCTIONS FOR MEDICARE/MEDICAID COMBINATION CLAIMS

Chapter 100, Topic 120.1 provides general guidance for claim submittal and payment when a patient is covered by both Medicare and Medicaid. These are generally referred to as combination claims. This Appendix provides detailed instructions for coding Medicare claims to facilitate proper consideration for payment of co-insurance and deductibles by the Department.

Coding and Submission of Claims to the Medicare Intermediary or DMERC

Charges for services provided to covered participants who are also eligible for Medicare benefits must be submitted to the Medicare intermediary on Form HCFA 1500. The words "Illinois Department of Public Aid" or "IDPA" and the patient's nine digit Recipient Identification Number are to be entered in Field 9a of the Form HCFA 1500. Field 27 must be marked "Yes", indicating the provider will accept assignment.

In many instances, this entry will cause the claim to "cross over", that is, the claim will be forwarded to the Department by the Medicare intermediary automatically, without any further action by the provider. This is referred to as a crossover claim. When a claim crosses over, the Explanation of Medicare Benefits (EOMB) will contain a message or code indicating that the claim has been sent to the Department. The claim will appear later on a Department Remittance Advice after it has been adjudicated.

Submission of Claims That Do Not Automatically Cross Over

For consideration of payment of the coinsurance and deductible, the provider must submit the claim directly to the Department when:

- payment is made by the Medicare intermediary but the EOMB does not show that the claim has been crossed over, or
- when more than 90 days has elapsed since the Medicare payment but the claim has not appeared on a Department Remittance Advice.

Submit a copy of Form HCFA 1500 with a copy of the Medicare EOMB or the Medicare payment voucher.

Prior to submitting the claim to the Department, the following additional information must be entered on Form HCFA 1500:

- the provider name in Field 33 exactly as it appears on the Provider Information Sheet,
- the provider's Provider Number in the lower right hand corner of Field 33, and
- the one digit provider payee code (if the provider has multiple payees listed on the Provider Information Sheet) in Field 33 immediately following the Provider Name.

If the HCFA 1500 submitted to Medicare lists services of two or more practitioners, a separate claim and EOMB is required for each. In addition, the services provided by each practitioner must be identified.

The disposition of the claim will be reported on the Department's Remittance Advice.

Provider Action on Services Totally Rejected by Medicare

The Department's liability for payment is generally based on Medicare's determination as to medical necessity and utilization limits. Before submitting a denied claim to the Department, the provider should review the reason for Medicare's denial to determine if submittal of the claim is indicated. In general, the provider should submit a claim to the Department for payment consideration only when the reason for Medicare's denial of payment is either:

- the patient was not eligible for Medicare benefits or
- the service is not covered as a Medicare benefit.

In such instances, the Department is to be billed only after final adjudication of the claims by the Medicare intermediary. If the provider has requested a reconsideration of Medicare's denial, the Department is not to be billed until after Medicare's reconsideration decision.

Claims which have been denied by Medicare for which the provider is seeking payment must be submitted on a Form DPA 1443 with a copy of the EOMB attached. If Medicare reconsideration was requested and denied, a copy of the reconsideration decision and any correspondence should also be attached.

APPENDIX O-2
PROCEDURE CODES BILLABLE BY OPTICIANS AND OPTICAL COMPANIES

PROCEDURE CODE	DESCRIPTION	PRIOR APPROVAL REQUIRED
X1015	Dispensing Fee	
X1016	Service Fee	
V2500	Hard Contact Lens (each)	Yes
V2510	Gas Permeable Contact Lens (each)	Yes
V2520	Soft Contact Lens, Hydrophylic, Spherical (each)	Yes
X2500	Hard Contact Lens (pair)	Yes
X2510	Gas Permeable Contact Lens (pair)	Yes
X2520	Soft Contact Lens, Hydrophylic, Spherical (pair)	Yes
X1047	Prism up to 4 Degrees	
X1048	Prism 4 Degrees and Above	
X1021	Nose Pad Replacement	
X1024	Temple Replacement (each)	
X1025	Temple Replacement (pair)	
X1026	Frame Front	
X1028	Frame Repair, Service Only	
V2600	Hand Held Low Vision Aid	Yes
V2629	Custom Artificial Eye	Yes
V2799	Service Not Listed	Yes

APPENDIX O-3

VISON CARE PROCEDURE CODES BILLABLE BY ALL OPTOMETRISTS

PROCEDURE CODE	BRIEF DESCRIPTION	PRIOR APPROVAL REQUIRED
X1010	Examination, Office	
X1011	Examination, Other Location	
X1015	Dispensing Fee	
X1016	Service Fee	
V2500	Hard Contact Lens (each)	Yes
V2510	Gas Permeable Contact Lens (each)	Yes
V2520	Soft Contact Lens, Hydrophylic, Spherical (each)	Yes
X2500	Hard Contact Lens (pair)	Yes
X2510	Gas Permeable Contact Lens (pair)	Yes
X2520	Soft Contact Lens, Hydrophylic, Spherical (pair)	Yes
X1044	Contact Lens Service (each)	Yes
X1045	Contact Lens Service (pair)	Yes
W7257	Aphakic Infant Contact, Single	Yes
W7258	Aphakic Infant Contact, Pair	Yes
W7259	Aphakic Contact Lens Service, Single	Yes
W7260	Aphakic Contact Lens Service, Pair	Yes
X1047	Prism up to 4 Degrees	
X1048	Prism 4 Degrees and Above	
X1021	Nose Pad Replacement	

PROCEDURE CODE	BRIEF DESCRIPTION	PRIOR APPROVAL REQUIRED
X1024	Temple Replacement (each)	
X1025	Temple Replacement (pair)	
X1026	Frame Front	
X1028	Frame Repair, Service Only	
V2600	Hand Held Low Vision Aid	Yes
V2629	Custom Artificial Eye	Yes
V2799	Service Not Listed	Yes

APPENDIX O-4

ADDITIONAL PROCEDURE CODES BILLABLE BY TPA/DPA CERTIFIED OPTOMETRISTS ONLY

CODES BILLABLE BY BOTH DPA AND TPA CERTIFIED OPTOMETRISTS

In addition to the codes listed in Appendix O-3, the following CPT codes are billable by both DPA and TPA certified optometrists. Note: No prior approval is required for these codes.

PROCEDURE CODE	BRIEF DESCRIPTION
76511	Opthalmic Ultrasound, A-scan Only
76512	Opthalmic Ultrasound, Contact B-scan
76516	Opthalmic Biometry by Ultrasound, A-scan
76519	Opthalmic Biometry with Intraocular Lens Calculation
92002	Ophthalmological exam, intermediate, new patient
92004	Ophthalmological exam, comprehensive, new patient
92012	Ophthalmological exam, intermediate, established patient
92014	Ophthalmological exam, comprehensive, established patient
92020	Gonioscopy
92060	Sensorimotor exam w/measures of ocular deviation
92081	Visual field exam with interpretation
92082	Visual Field Exam, Intermediate
92083	Visual Field Exam, Extended
92100	Serial tonometry w/measures of intraocular pressure
92225	Opthalmoscopy, Extended, with Retinal Drawing, New
92226	Opthalmoscopy, Extended, Subsequent
92250	Opthalmoscopy, Fundus Photography
99201	E/M Office visit, new patient

PROCEDURE CODE	BRIEF DESCRIPTION
99202	E/M Office visit, new patient
99203	E/M Office visit, new patient
99211	E/M Office visit, established patient
99212	E/M Office visit, established patient
99213	E/M Office visit, established patient
99301	E/M Nursing home visit, comprehensive exam
99302	E/M Nursing home visit, comprehensive exam
99311	E/M Nursing home visit, problem focused exam
99312	E/M Nursing home visit, problem focused exam
99321	E/M Domiciliary visit, problem focused, new patient
99322	E/M Domiciliary visit, expanded focused, new patient
99331	E/M Domiciliary visit, focused, established patient
99332	E/M Domiciliary visit, expanded, established patient
99347	E/M Home visit, focused exam, established patient
99348	E/M Home visit, expanded exam, established patient

Detailed code definitions can be found in the Current Procedural Terminology (CPT) published by the American Medical Association.

CODES BILLABLE BY TPA CERTIFIED OPTOMETRISTS ONLY

In addition to the codes listed in Appendix O-3, the following CPT codes are billable by TPA certified optometrists:

PROCEDURE CODE	BRIEF DESCRIPTION
65205	Remove foreign body, conjunctival superficial
65220	Remove foreign body, corneal, without slit lamp

PROCEDURE CODE	BRIEF DESCRIPTION
65222	Remove foreign body, corneal, with slit lamp
65430	Scraping of cornea, diagnostic
67820	Correction of trichiasis, epilation, by forceps only
68040	Expression of conjunctival follicles
68761	Closure of lacrimal punctum, by plug, each
92270	Electro-oculography
92285	External ocular photography
92286	Anterior segment photography with microscopy

APPENDIX O-5

PREPARATION AND MAILING INSTRUCTIONS FOR FORM DPA 1409, PRIOR APPROVAL REQUEST

Form DPA 1409, Prior Approval Request, is to be submitted by the provider for certain specified services in order for the services to qualify for reimbursement. Services and items requiring prior approval are identified in this handbook.

Form DPA 1409 is a multi-part form. Appendix O-5a contains an example of the form.

INSTRUCTIONS FOR COMPLETION

The form is to be typewritten or legibly hand printed. Instructions for completion follow in the order entries appear on the form. Mailing instructions follow the form preparation instructions.

The left hand column of the following instructions identifies mandatory and optional items for form completion as follows:

Required = Entry always required.

Conditionally Required = Entries which are required only under certain circumstances. Conditions of the requirement are identified in the instruction text.

Not Required = Fields not applicable; leave blank.

COMPLETION		ITEM EXPLANATION AND INSTRUCTIONS
		Document Control Number - leave blank.
Not Required	1.	Trans Code (Transaction Code) - Leave blank.
Not Required	2.	Prior Approval Number - Leave blank.
Required	**3.**	**Case Name** - Enter the case name from the patient's MediPlan Card or Temporary MediPlan Card or KidCare Card. The case name appears on the front of the card in conjunction with the mailing address.

Required **4.** **Recipient Name** - Enter the name of the patient for whom the service or item is requested.

Required **5.** **Recipient Number** - Enter the nine digit recipient number assigned to the patient for whom the service or item is requested. This number is found to the right of the patient's name on the back of the MediPlan or KidCare Card.

Required **6.** **Birthdate** - Enter the patient's birthdate. This is a six-digit field. Entry must be in MMDDYY format, with no commas or dashes. For example, a birthdate of February 3, 1995 would be entered as 020395.

Conditionally Required **7.** **Inst Set (Institutional Setting)** - An entry in this field is required only when the patient resides in a long term care facility.

Enter one of the following codes to identify the arrangement:

H = Long-Term Care Facility
I = Sheltered Care Facility
L = Other Location, e.g., State Hospital

Required **8.** **Case Identification Number** - Enter the Case Identification Number from the patient's MediPlan Card or Temporary MediPlan Card or KidCare Card. This number is found in the primary portion (front) of the card immediately above the case name and mailing address.

Required **9.** **Recipient Street Address** - Enter the patient's current street address. The Department will use this information to mail the patient the "Notice of Decision on Request for Medical Service/Item".

Conditionally Required **10.** **Facility Name** - An entry in this field is required only when an entry appears in Item 7 above.

Required **11.** **Recipient City** - Refer to Item 9 above.

Conditionally Required **12.** **Facility City** - An entry in this field is required only when an entry appears in Items 7 and 10.

Not Required 13 - 17 Leave blank.

Required **18.** **Supplying Provider Name** - Enter the name of the provider who will provide the service or item.

Required **19.** **Supply Prov No (Supplying Provider Number)** - Enter the supplying provider's Provider Number exactly as shown on the Provider Information Sheet.

Required **20.** **Provider Street** - Enter the provider's address. This information will be used to return a copy of the processed (approved/denied) request.

Required **21.** **Provider Telephone** - Enter the telephone number of the provider's office. This information is helpful in instances where the Department needs additional information in order to act upon the request.

Required **22.** **Provider City, State, Zip** - Refer to entry field 20.

Not Required 23 - 26 Leave blank.

27. **Service Sections** - The form provides space to request a maximum of three services/items. When more than three services are requested, a second form must be completed. Instructions for completion of entry fields contained within a service section follow:

Required **Req. Proc. Code (Requested Procedure Code)** - Enter the five digit procedure code (from Appendix O-2, O-3 or O-4) which identifies the procedure for which approval is requested.

Required **Req Qty (Requested Quantity)** - Enter the number of items or the number of times the service is to be performed.

Required **Prov Charge (Provider Charge)** - Enter the provider's charge for the service(s).

Required **Description** - Briefly describe the services or items or materials to be provided. If additional space is needed, provide the information on letterhead paper, identifying the patient by name and Recipient Identification Number.

Required **28.** **Medical Necessity** - The provider is to enter a statement as to the need for the service(s) requested. In addition to a narrative explanation, diagnosis and visual acuity both with and without

glasses should be provided. If additional space is needed, provide the information on letterhead paper, identifying the patient name and Recipient Identification Number.

Required **29.** **Supplying Provider Signature** - The form is to be signed in ink by the individual who is to provide the service.

Required **31.** **Request Date** - Enter the date the form is signed.

MAILING INSTRUCTIONS

Before mailing, carefully review the request for completeness and accuracy. The top, signed copy of the request is to be mailed to:

Illinois Department of Public Aid
Bureau of Comprehensive Health Services
Post Office Box 19105
Springfield, Illinois 62794-9105

The remaining copies may be retained in the provider's records.

A notification of approval or denial of the service(s) will be mailed to the provider. The service is not to be provided until the approval notification is received.

APPENDIX O-5a
Reduced Facsimile of Form DPA 1409, Prior Approval Request

PRIOR APPROVAL REQUEST

ILLINOIS DEPARTMENT OF PUBLIC AID

Document Control Number

"Completion Mandatory, Ill.Rev.Stat., PA Code, penalty non-payment. Form Approved

CCC

1.Trans Code 2.Prior Approval Number

3.Case Name

4.Recipient Name (First, MI, Last) 5.Recipient Number 6.Birthdate 7.Inst.Set 8.Case Number

9.Recipient Street 10.Facility Name

11.Recipient City State Zip 12.Facility City

13.Requesting Provider Name 14.Requesting Prov.No.

15.Provider Street 16.Provider Telephone ( )

17.Provider City State Zip

18.Supplying Provider Name 19.Supply Prov.No.

20.Provider Street 21.Provider Telephone ( )

22.Provider City State Zip

23.Aprv.Authority 24.Disp.Date 25. Approving Authority Signature 26.Receipt Date

27.SERVICE SECTIONS

1	Req.Proc.Code	Req.Qty.	Prov.Charge	Cat.Serv	Description
DISP STATUS	Aprv.Proc.Code	Aprv.Qty.	Unit Amount		
0=Denied 1=Aprv.	Total Amount	Begin Date	End Date		Reason For Denial
2	Req.Proc.Code	Req.Qty.	Prov.Charge	Cat.Serv	Description
DISP STATUS	Aprv.Proc.Code	Aprv.Qty.	Unit Amount		
0=Denied 1=Aprv.	Total Amount	Begin Date	End Date		Reason For Denial
3	Req.Proc.Code	Req.Qty.	Prov.Charge	Cat.Serv	Description
DISP STATU	Aprv.Proc.Code	Aprv.Qty.	Unit Amount		
0=Denied 1=Aprv.	Total Amount	Begin Date	End Date		Reason For Denial

28. Medical Necessity

This is to certify that the information above is true, accurate and complete.

29. Supplying Provider Signature 30.Request Date

DPA 1409 (R-1-92) IL478-1100

APPENDIX O-6

EXPLANATION OF INFORMATION ON PROVIDER INFORMATION SHEET

The Provider Information Sheet is produced when a provider is enrolled in the Department's Medical Programs. It will also be generated when there is a change or update to the provider record. This sheet will then be mailed to the provider and will serve as a record of all the data that appears on the Provider Data Base.

If, after review, the provider notes that the Provider Information Sheet does not reflect accurate data, the provider is to line out the incorrect information, note the correct information, sign the document and return it to the Provider Participation Unit in Springfield, Illinois. (See Topic O-201.4 for instructions.) If all the information noted on the sheet is correct, the provider is to keep the document and reference it when completing any Department forms.

The following information will appear on the Provider Information Sheet. A sample of a Provider Information Sheet is attached as Appendix O-6a. The item or area numbers that correspond to the explanations below appear in small circles on the sample form.

FIELD	EXPLANATION
1 **PROVIDER KEY**	This number uniquely identifies the provider and is to be used as the provider number when billing charges to the Department.
2 **PROVIDER NAME AND LOCATION**	This area contains the **NAME AND ADDRESS** of the provider as carried in the Department's records. The three digit **COUNTY** code identifies the county in which the provider maintains his primary office location. It is also used to identify a state if the provider's primary office location is outside of Illinois. The **TELEPHONE NUMBER** is the primary telephone number of the provider's primary office.
3 **ENROLLMENT SPECIFICS**	This area contains basic information reflecting the manner in which the provider is enrolled with the Department. **PROVIDER TYPE** is a three-digit code and corresponding narrative which indicates the provider's classification.

ORGANIZATION TYPE is a two-digit code and corresponding narrative indicating the legal structure of the environment in which the provider primarily performs services. The possible codes are:

01 = Individual Practice
02 = Partnership
03 = Corporation

ENROLLMENT STATUS is a one-digit code and corresponding narrative which indicates whether or not the provider is currently an active participant in the Department's Medical Programs. The possible codes are:

B = Active
I = Inactive
N = Non Participating

Disregard the term NOCST if it appears in this item.

Immediately following the enrollment status indicator are the **BEGIN** date indicating when the provider was most recently enrolled in Department's Medical Programs and the **END** date indicating the end of the provider's most current enrollment period. If the provider is still actively enrolled, the word "ACTIVE" will appear in the **END** date field.

EXCEPTION INDICATOR may contain a one-digit code and corresponding narrative indicating that the provider's claims will be reviewed manually prior to payment. The possible codes are:

A = Exception Requested By Audits
C = Citation to Discover Assets
G = Garnishment
S = Exception Requested By Provider Participation Unit
T = Tax Levy

If this item is blank, the provider has no exception.

Immediately following the **EXCEPTION INDICATOR** are the **BEGIN** date indicating the first date when the provider's claims were to be manually reviewed and the **END** date indicating the last date the provider's claims were to be manually reviewed. If the provider has no exception, the date fields will be blank.

AGR (Agreement) indicates whether the provider has a form DPA 1413, Provider Agreement, on file and the provider is eligible to submit claims electronically. Possible entries are YES or NO.

4 **CERTIFICATION/ LICENSE NUMBER**

This is a unique number identifying the license issued by a state agency authorizing a provider to practice or conduct business. This entry is followed by the **ENDING** date indicating when the license will expire.

5 **S.S.#**

This is the provider's social security or FEIN number.

6 **SPECIALTY AND CATEGORIES OF SERVICE**

This area identifies special licensure information and the types of services a provider is enrolled to provide.

SPECIALTY CODE is a three digit code and corresponding narrative identifying whether an optometrist has received TPA/DPA certification. An entry in this item is followed by the date an optometrist received TPA/DPA certification or the date the Department was notified of the certification, whichever is later.

ELIGIBILITY CATEGORY OF SERVICE contains one or more three-digit codes and corresponding narrative indicating the types of service a provider is authorized to render to patients covered under the Department's Medical Programs. The possible codes are:

001 = Physician Services
003 = Optometric Services
045 = Optical Materials

Each entry is followed by the date that the provider was approved to render services for each category listed.

7 **PAYEE INFORMATION**

This area records the name and address of any persons or entities authorized to receive payments on behalf of the provider. Each potential payee is assigned a single digit **PAYEE CODE**, which is to be used on the claim form to designate the payee to whom the warrant is to be paid.

If no payee number is designated on a claim form, but multiple payees are shown on the Provider Information Sheet, the claim will be rejected.

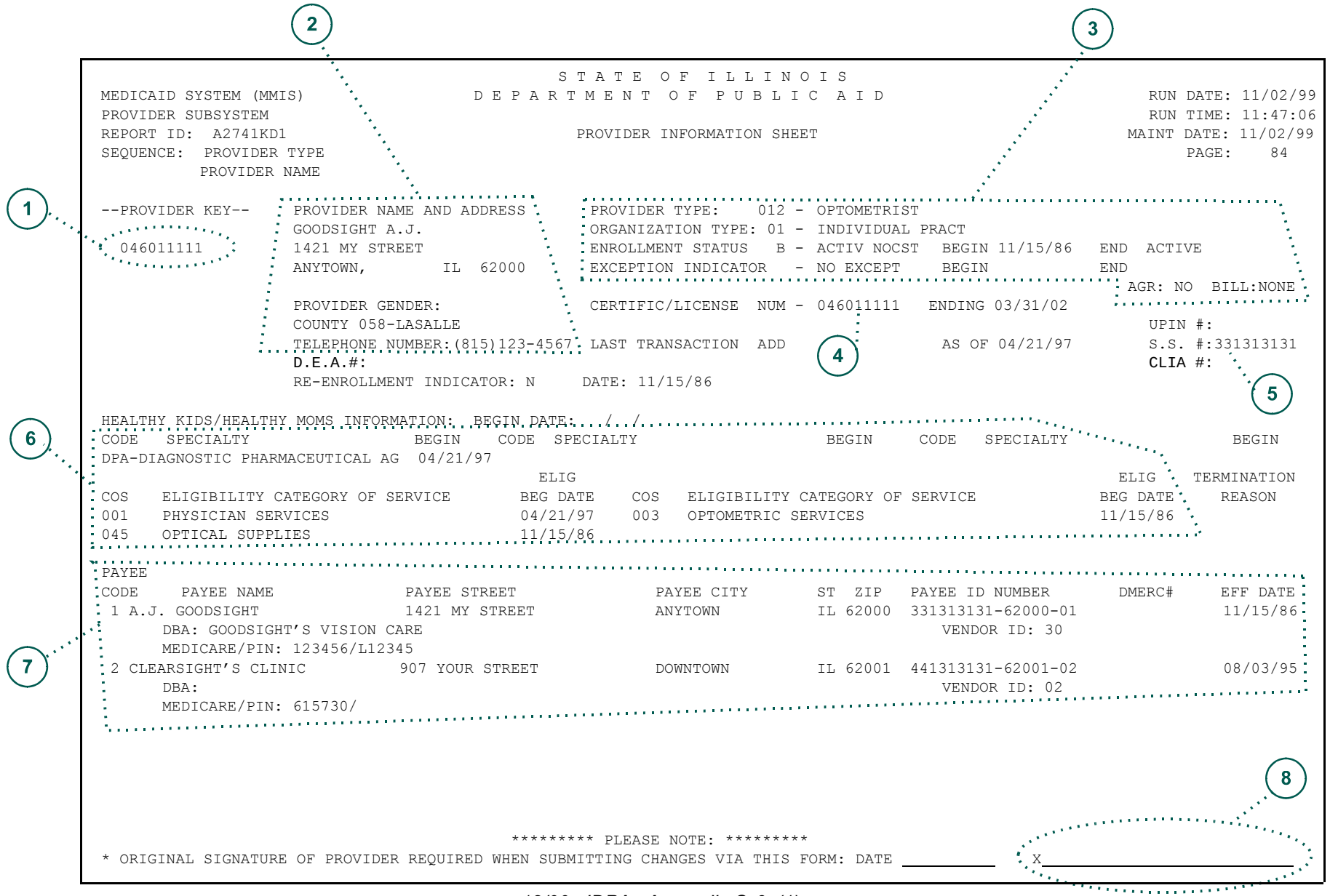
PAYEE ID NUMBER is a sixteen-digit identification number assigned to each payee to whom warrants may be issued. A portion of this number is used for tax reporting purposes, therefore no payments can be made to a payee unless the number is on file. Immediately following this number is the effective date when payment may be made to each payee on behalf of the provider.

The **MEDICARE/PIN** or the **DMERC #** is the number assigned to the payee by the Medicare Carrier to cross-over Medicare billable services. The **PIN** is the number assigned by Medicare to a provider within a group practice, if applicable.

SIGNATURE

The provider is required to affix an original signature when submitting changes to the Department of Public Aid.

APPENDIX O-6a
Reduced Facsimile of Provider Information Sheet



```
                                   S T A T E   O F   I L L I N O I S
MEDICAID SYSTEM (MMIS)          D E P A R T M E N T   O F   P U B L I C   A I D        RUN DATE: 11/02/99
PROVIDER SUBSYSTEM                                                                     RUN TIME: 11:47:06
REPORT ID:  A2741KD1                    PROVIDER INFORMATION SHEET                  MAINT DATE: 11/02/99
SEQUENCE:  PROVIDER TYPE                                                                    PAGE:    84
           PROVIDER NAME

--PROVIDER KEY--    PROVIDER NAME AND ADDRESS      PROVIDER TYPE:    012 - OPTOMETRIST
  046011111         GOODSIGHT A.J.                 ORGANIZATION TYPE: 01 - INDIVIDUAL PRACT
                    1421 MY STREET                 ENROLLMENT STATUS   B - ACTIV NOCST  BEGIN 11/15/86  END  ACTIVE
                    ANYTOWN,        IL  62000      EXCEPTION INDICATOR   - NO EXCEPT    BEGIN           END
                                                                                                AGR: NO  BILL:NONE
                    PROVIDER GENDER:               CERTIFIC/LICENSE  NUM - 046011111   ENDING 03/31/02
                    COUNTY 058-LASALLE                                                          UPIN #:
                    TELEPHONE NUMBER:(815)123-4567 LAST TRANSACTION  ADD          AS OF 04/21/97  S.S. #:331313131
                    D.E.A.#:                                                                    CLIA #:
                    RE-ENROLLMENT INDICATOR: N     DATE: 11/15/86

HEALTHY KIDS/HEALTHY MOMS INFORMATION:  BEGIN DATE:   /  /
CODE   SPECIALTY                  BEGIN    CODE  SPECIALTY                  BEGIN     CODE   SPECIALTY                BEGIN
DPA-DIAGNOSTIC PHARMACEUTICAL AG  04/21/97

                                          ELIG                                                          ELIG   TERMINATION
COS    ELIGIBILITY CATEGORY OF SERVICE    BEG DATE    COS   ELIGIBILITY CATEGORY OF SERVICE           BEG DATE    REASON
001    PHYSICIAN SERVICES                 04/21/97    003   OPTOMETRIC SERVICES                       11/15/86
045    OPTICAL SUPPLIES                   11/15/86

PAYEE
CODE     PAYEE NAME              PAYEE STREET              PAYEE CITY       ST  ZIP   PAYEE ID NUMBER       DMERC#     EFF DATE
 1 A.J. GOODSIGHT                1421 MY STREET            ANYTOWN          IL 62000  331313131-62000-01               11/15/86
      DBA: GOODSIGHT'S VISION CARE                                                      VENDOR ID: 30
      MEDICARE/PIN: 123456/L12345
 2 CLEARSIGHT'S CLINIC          907 YOUR STREET           DOWNTOWN         IL 62001  441313131-62001-02               08/03/95
      DBA:                                                                              VENDOR ID: 02
      MEDICARE/PIN: 615730/

                                   ********* PLEASE NOTE: *********
* ORIGINAL SIGNATURE OF PROVIDER REQUIRED WHEN SUBMITTING CHANGES VIA THIS FORM: DATE _____   X_____
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